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FORM PTO-1390 (REV, 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

J645-004 US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

CONCERNING A FILIN	10/088373								
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE								
PCT/IT00/00357									
A CLOSURE, PARTICULARLY FOR A BOTTLE FOR A GOOD-QUALITY LIQUOR									
APPLICANT(S) FOR DO/EO/US Piero BATTEGAZZORE									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information									
1. X This is a FIRST submission of items	1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2. This is a SECOND or SUBSEQUE	. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.								
3. This is an express request to begin notitems (5), (6), (9) and (21) indicated	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected by the expi	The US has been elected by the expiration of 19 months from the priority date (Article 31).								
	b. has been communicated by the International Bureau.								
<b>—</b>	c. x is not required, as the application was filed in the United States Receiving Office (RO/US).								
<u> </u>	<u> </u>								
	<ul> <li>a.  is attached hereto.</li> <li>b.  has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>								
Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))									
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<u> </u>									
9. X An oath or declaration of the inventor	or(s) (35 U.S.C. 371(c)(4)). Unsigned								
10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern document(s) or information included:									
11. An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.								
12. An assignment document for record	ding. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.							
13. A FIRST preliminary amendment.	•								
14. A SECOND or SUBSEQUENT pr	reliminary amendment.								
15. A substitute specification.	r.								
16. A change of power of attorney and	d/or address letter.								
17. A computer-readable form of the s	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.								
18. A second copy of the published in	A second copy of the published international application under 35 U.S.C. 154(d)(4).								
19. A second copy of the English lang	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. Other items or information: 2 Sheets of Drawings, Figs. 1-3 Copy of Top Sheet of Published Appln. No. WO 01/23265 A1									

Copy of European Search Report

Copy of International Preliminary Examination Report

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U.S. 1 PPROATION CO. CO.	8373		TERNATIONAL APPLICATION NO.	<b>\</b>		ATTORNEYS DOCKET NUMBER J453-009 US			
21. The following fees are submitted:					CALCULATIONS PTO USE ONLY				
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):									
Neither international preliminary examination fee (37 CFR 1.482)									
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO									
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00									
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00									
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00									
International preliminary examination fee (37 CFR 1.482) paid to USPTO									
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00									
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 8	90.00	·- · · · · · · · · · · · · · · · · · ·			
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$				
CLAIMS	NUMBER FILI	ED	NUMBER EXTRA	RATE	\$				
Total claims	9 - 20	=	0 .	x \$18.00	\$ 0				
Independent claims	1 -3		0	x \$80.00	\$ 0				
MULTIPLE DEPEN	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00				\$ 0 \$ 890.00				
TOTAL OF ABOVE CALCULATIONS =					2 8	<del>3</del> 0.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$		#1400.00		
: SUBTOTAL =				\$ 8	90.00				
Processing fee of \$130.00 for furnishing the English translation later than a months from the earliest claimed priority date (37 CFR 1.492(f)).				\$					
TOTAL NATIONAL FEE =					\$ 8	90.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$				
TOTAL FEES ENCLOSED =				\$ 8	90.00				
			•	_		ount to be refunded:	\$		
						charged:	\$		
a. A check in the amount of \$ 890.00 to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.									
A duplicate copy of this sheet is enclosed.									
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1431 . A duplicate copy of this sheet is enclosed.									
d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card									
information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Notaro & Michalos P.C.  100 Dutch Hill Road, Suite 110									
Orangeburg, New York 10962-2100 Pete			r C. Michalos						
	lo.: 845-359-7700 NAME			40					
	Dated: March 15, 2002 CUSTOMER NO.: 21706								
VIA EXPRESS MAIL LABEL NO.: EV 063136407 US									